

9/9/02
PATENT

Attorney Docket No. MTI-31532

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Ronald A. Weimer
Serial No. : 09/941,827
Filing Date : August 29, 2001
For : Method of Improved High K Dielectric-Polysilicon Interface for CMOS Devices
Group Art Unit : 2813
Examiner : Huynh, Yennhu B.
Confirmation No. : 7551

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

Mailing

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

- ☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EV048319425US

Transmission

- ☐ transmitted by facsimile to Fax No _____ addressed to Examiner _____ at the Patent and Trademark Office.

Date:

September 9, 2002

J. M. R. Haul

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is: Response to Restriction Requirement and Preliminary Amendment; replacement claims; and blacklined claims

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

| | <u>Total months Requested</u> | <u>Fee for other than Small Entity</u> | <u>Fee for Small Entity</u> |
|--------------------------|-----------------------------------|--|---------------------------------|
| <input type="checkbox"/> | one month | \$110.00 | \$ 55.00 |
| <input type="checkbox"/> | two months | \$400.00 | \$195.00 |
| <input type="checkbox"/> | three months | \$890.00 | \$445.00 |
| <input type="checkbox"/> | four months | \$1,390.00 | \$695.00 |

Fee \$ _____

If an additional extension of time is required please consider this a petition therefor.

- a. ☐ An extension for __ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

OR

- b. ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

Extension fee due with this request \$ 0.00

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

| Claims Remaining After Amendment | Highest No. Previously Paid For | Present Extra | Rate | Addit. Fee or | Rate | Addit. Fee |
|---|---------------------------------------|------------------|------|------------------------|---------------------------|---------------|
| Total | 95 | Minus 77 = | 18 | x9= \$ | x18= | \$324.00 |
| Indep. | 49 | Minus 32 = | 17 | x42= \$ | x84= | \$1428.00 |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | +140=\$ | +280= | \$0 |
| | | | | TOTAL ADDIT. FEE \$ | or TOTAL ADDIT. FEE | \$1752.00 |

- c. ☐ No additional fee for claims is required.

OR

- d. ☒ Total additional fee for claims required **\$1,752.00**.

FEE PAYMENT

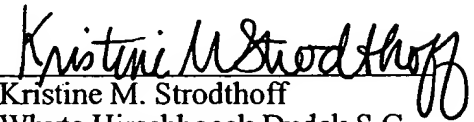
5. Attached is a check in the sum of **\$1752.00**

FEE DEFICIENCY

6. If any additional extension and/or fee is required, charge Account No. 23-2053.
If any additional fee for claims is required, charge Account No. 23-2053.

Reg. No. 34259
Tel. No.: (414) 273-2100

Date: September 9, 2002
Customer No.: 31870


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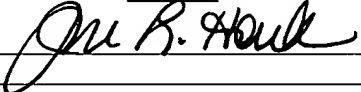
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**RESPONSE TO RESTRICTION REQUIREMENT
AND PRELIMINARY AMENDMENT**

Sir:

Restriction Requirement. In response to the Examiner's requirement for an election of the claims, mailed August 9, 2002, in the above-identified patent application, Applicant elects Group 1, Claims 1-62, drawn to methods, with traverse.

Preliminary Amendment. Prior to substantive examination, Applicant requests that the following amendments be made to the above-referenced application.

MKE/789487.1